

INDIVIDUAL SELF-CERTIFICATION (FOR AUTOMATIC EXCHANGE OF INFORMATION)



Tax regulations require ANZ and its Controlled Entities ("ANZ") to collect a Self-Certification that includes your tax residence(s). ANZ may be required to provide your information to relevant tax authorities (including where you do not provide a valid Self-Certification).

Automatic Exchange of Information may include the Foreign Account Tax Compliance Act (FATCA), and the Common Reporting Standard (CRS).

Before you begin:

- (a) **Complete this Self-Certification:** If you are an Individual Account Holder, Sole Trader or Sole Proprietor. For joint or multiple Account Holders each Individual Account Holder must complete a separate Self-Certification.
- (b) **Refer** to the Australian specific terms/information at www.anz.com/aeoi
- (c) **Obtain** tax/legal/other professional advice (if required) before you complete this Self-Certification and sign Section 3

Once completed mail to: ANZ
AEOI Documents
Reply Paid 89576
SOUTH MELBOURNE VIC 3205
AUSTRALIA

SECTION 1: ACCOUNT HOLDER DETAILS

1.1 Full Name

First or Given Name(s)

Surname

1.2 Residence Address (Do not provide a PO Box or in-care-of Address)

Address Line 1

Province/State/County

Address Line 2

Postal Code/Zip Code

City/Town

Country

1.3 Date of Birth

(DD/MM/YYYY)

SECTION 2: ACCOUNT HOLDER TAX RESIDENCE(S)

(Please note, US Citizens are considered to be Tax Residents of the US).

2.1 I confirm:

- a) I am only Tax Resident in Australia OR
- b) I have included below all countries in which I am Tax Resident (other than Australia).

Country of Tax Residence (Do not include Australia)	Tax payer identification number (TIN) (or country equivalent)	Reason Code (if TIN not provided)	Explanation (only if Reason code is "Z")
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

Reason codes:

- A – TIN Not Issued (The Country does not issue TINs)
- C – TIN Applied For (I have applied for a TIN and will inform you upon receipt)

- B – TIN Not Required (The Country does not require collection of a TIN)
- Z – TIN Unobtainable (I am unable to obtain a TIN) Please provide explanation.

SECTION 3: DECLARATIONS AND SIGNATURE

I certify that:

1. I am the Account Holder and/or authorised to sign/disclose for the Account Holder.
2. I have consulted an independent advisor where necessary and acknowledge that ANZ has not provided any advice.
3. I will notify ANZ of changes to any information (including TIN changes) within 30 days of the change occurring and, where required, will provide ANZ with a new Self-Certification.
4. I have attached all relevant documents (eg. Power of Attorney).
5. I have provided true, correct and complete information.
6. I will provide ANZ with any additional information and/or documentation as requested.
7. I understand that provision of false, inaccurate or incomplete information may constitute an offence(s) and penalties may apply.

Signature

Name

(Please print first and last name)

Date

(DD/MM/YYYY)

Office Use Only