

ANZ SMART CHOICE SUPER MEMBER ADVICE FEE



1 October 2022

Customer Services

Phone 13 12 87

Email smartchoice@insigniafinancial.com.au

Website anz.com/smartchoicesuper

INSTRUCTIONS

- If you have agreed to pay your financial adviser a One-off Member Advice Fee and/or an Ongoing Member Advice Fee from your account balance, please complete the form below. The amount nominated below will be deducted from your account and is inclusive of GST. This is paid by OnePath Custodians under a separate contractual arrangement OnePath Custodians has with your financial adviser.
- Complete all applicable sections of this form in **CAPITAL LETTERS** using a black or blue pen.
- If you have not previously provided proof of your identification, Anti Money Laundering / Know Your Customer legislation in Australia requires us to obtain a certified copy of at least 1 primary identification document or 2 secondary identification documents. Please refer to page 4 for identification documents you need to provide.
- Email your completed and signed form to smartchoice@insigniafinancial.com.au or post to:
ANZ Smart Choice Super
GPO Box 5107
Sydney NSW 2001

1. MEMBER DETAILS

Member number	<input type="text"/>	Date of birth	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
Title	<input type="checkbox"/> Mr	<input type="checkbox"/> Mrs	<input type="checkbox"/> Ms	<input type="checkbox"/> Miss	<input type="checkbox"/> Dr	<input type="checkbox"/> Other	<input type="text"/>
First name(s)	<input type="text"/>						
Last name	<input type="text"/>						
Home phone	<input type="text"/>			Business phone	<input type="text"/>		
Email	<input type="text"/>						

2. FINANCIAL ADVISER DETAILS (TO BE COMPLETED BY THE ADVISER)

Title	<input type="checkbox"/> Mr	<input type="checkbox"/> Mrs	<input type="checkbox"/> Ms	<input type="checkbox"/> Miss	<input type="checkbox"/> Dr	<input type="checkbox"/> Other	<input type="text"/>
Surname	<input type="text"/>						
Given name(s)	<input type="text"/>						
Email address	<input type="text"/>						
Office address	<input type="text"/>						
Office phone number	<input type="text"/>			Office mobile number	<input type="text"/>		
Sales Account Number (SAN)	<input type="text"/>						
Dealer Group name	<input type="text"/>						
Has the financial adviser held a SAN with ANZ/OnePath Custodians previously?	<input type="checkbox"/> Yes			<input type="checkbox"/> No			
If yes, please provide the previous SAN	<input type="text"/>						
Name of the Dealer Group the SAN was held under	<input type="text"/>						

I, the financial adviser, whose signature appears below, confirm that the nominated fee in section 3 is in relation to services to be provided to the member for their ANZ Smart Choice Super member account and/or the Fund, and is not part of an early release scheme.

Financial adviser's signature	Date	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
-------------------------------	------	----------------------	----------------------	----------------------	----------------------	----------------------	----------------------

ANZ SMART CHOICE SUPER

MEMBER ADVICE FEE

3. ONE-OFF MEMBER ADVICE FEE (MAF)

- One-off MAFs are optional and agreed between you and your financial adviser.
- You can cancel this MAF at any time by notifying us in writing.
- We have the discretion to decline to deduct any of these fees.
- Please refer to the current Product Disclosure Statement (PDS) for further information.

I agree to a One-off MAF of \$ to be paid to the financial adviser nominated above for advisory services provided to me.

Services being provided:

- | | | |
|---|---|--|
| <input type="checkbox"/> Review of your account | <input type="checkbox"/> Strategic superannuation advice | <input type="checkbox"/> Investment advice on your account |
| <input type="checkbox"/> Contribution strategy | <input type="checkbox"/> Insurance in superannuation strategy | <input type="checkbox"/> Withdrawal advice |

Note: This is a One-off payment. Any further payments require an additional form to be completed each time you negotiate a new MAF.

4. ONGOING MEMBER ADVICE FEE(MAF)

- Ongoing MAFs are optional and agreed between you and your financial adviser.
- You can cancel this MAF at any time by notifying us in writing.
- We have the discretion to decline to deduct any of these fees.
- Please refer to the current Product Disclosure Statement (PDS) for further information.
- No Ongoing Member Advice Fees will be accepted for MySuper members in ANZ Smart Choice Super for employers and their employees and ANZ Smart Choice Super for QBE Management Services Pty Ltd and their employees.

I agree to an Ongoing MAF of \$ to be paid **each month** to the financial adviser for ongoing advisory services provided to me.

Consent end date[†]

Ongoing MAFs are deducted from your account at the end of the month. Please confirm which month you would like the deductions to commence (please allow at least 15 days for this form to be received and processed by us). If a commencement month is not provided deductions will commence on the first available month for processing.

[†]The consent end date is the date when all ongoing Member Advice Fees will end unless a new request has been submitted. Your last monthly payment will be paid on the month prior to the consent end date provided.

Note: This is a recurring arrangement paid on a regular basis, as requested by you. Where you have multiple investments within your account, the fee will be deducted from your investment with the highest balance. To specify an alternative account please call Customer Services on 13 12 87.

Under the law you are required to opt-in to, or renew, your ongoing financial adviser fee arrangements annually (by way of a written opt-in notice provided to you by your financial adviser). Unless we receive another completed MAF request form, we will assume you have not opted-in/renewed the fee arrangement and will cease payment after annual consent end date.

Where an income tax deduction is available under the applicable laws and is claimed by the Fund, in respect to these fees, the benefit of this tax deduction will be passed on to you in the form of a reduced fee cost.

Unless expressly stated otherwise, the fees and cost disclosed are inclusive of any applicable Goods and Services Tax (GST) less any entitlement to a reduced input tax (RITC) available to the Fund.

ANZ SMART CHOICE SUPER MEMBER ADVICE FEE

5. DECLARATION AND AUTHORISATION

By completing this form, I:

- confirm that I have read and understood the conditions related to the One-off MAF and Ongoing MAF outlined in the PDS
- confirm that the MAF nominated is solely for advice in relation to my interest in the Fund and is not part of an early release scheme
- understand that any One-off MAF and/or Ongoing MAFs nominated will be deducted from my account balance and paid by OnePath Custodians to the nominated financial adviser, under a separate contractual arrangement
- consent to the collection, use, storage and disclosure of my personal information (including health and other sensitive information) as described in ANZ's Privacy Policy which is available at anz.com/privacy and OnePath Custodians' Privacy Policy which is available at onepath.com.au/superandinvestments/privacy-policy. If I have provided information about another person in this application, I declare that I have the consent of that person to do so. I understand that ANZ and OnePath Custodians require me to inform the person concerned that I have done so and direct them to the relevant Privacy Policies so they may understand the manner in which their personal information (including health and other sensitive information) may be used and disclosed by ANZ and OnePath Custodians
- consent to ANZ, OnePath Custodians and their related companies using my personal information (including health and other sensitive information) to send me information about their products or services from time to time. I also consent to OnePath Custodians disclosing my personal information (including health and other sensitive information) to organisations, including those in an arrangement or alliance with OnePath Custodians or its related companies, to share information for marketing purposes and to enable those alliance partners to send me information about their products and services. If I do not want OnePath Custodians, its related companies or alliance partners using and disclosing my information for this purpose, I understand and agree that I must phone 13 12 87 to withdraw my consent
- authorise the provision of my personal information to the financial adviser nominated in section 2 for the purpose of providing me with personal advice relating to my ANZ Smart Choice Super member account
- understand my consent for a one-off MAF can be revoked at any time before the one-off fee is paid
- understand I can withdraw my consent or vary the ongoing MAF arrangement at any time by notice in writing to my adviser or to Customer Services.
- understand that OnePath Custodians is required to obtain your specific written consent before a fee for financial advice can be deducted from your account. You are not under any obligation to consent to the fee being deducted

I, the undersigned, whose **signature** appears below, agree to the amount specified in section 3 being deducted from my account balance and paid to the financial adviser nominated in section 2.

Member's signature

Date

D

D

M

M

2

0

Y

Y

Note: ANZ Smart Choice Super is a suite of products consisting of ANZ Smart Choice Super and Pension, ANZ Smart Choice Super for employers and their employees and ANZ Smart Choice Super for QBE Management Services Pty Ltd and their employees.

ANZ SMART CHOICE SUPER

MEMBER ADVICE FEE

YOUR IDENTIFICATION DOCUMENTS

The Anti-Money Laundering and Counter-Terrorism Financing Act 2006 requires us to identify you and verify your identity before we make a payment of your super.

To verify your identity, please send in original certified copies (not original documents) of the following:

- at least ONE primary identification document type OR
- at least TWO different types of secondary identification documents.

Please note: We cannot accept certified copies by fax or email.

Primary identification document types

- Australian State/Territory photographic driver's licence or learner's permit
- Australian passport (current or one that has expired within the past two years)
- Foreign passport*
- Australian State/Territory government issued Proof of Age Card
- Foreign government issued National Identification card*
- Australian Firearms/Shooting Licence
- Australian Explosives Licence

* If the identification document is written in a language other than English, you must provide a translation into English by a translator who is accredited by the National Accreditation Authority for Translators and Interpreters.

Secondary identification document types

- Birth certificate, birth card, birth extract issued by an Australian State or Territory, or foreign Government*
- Australian Medicare card
- Foreign driver's licence*
- Australian or foreign citizenship certificate*
- Australian Government card or notice issued by Centrelink to concession holder – includes any **one** of the following:
 - DHS Commonwealth Seniors Health Card or Health Care Card
 - DHS or DVA Pensioner Concession card
 - Benefits Notice (less than 12 months old)
- Australian ImmiCard – includes any **one** of the following:
 - Evidence of Immigration Status (EIS) ImmiCard
 - Permanent Resident Evidence (PRE) ImmiCard
 - Residence Determination ImmiCard (RDI)
- Australian School attendance letter/notice issued by principal to person under 18, recording residential address and period of attendance (less than 3 months old)
- Australian Taxation Office (ATO) assessment notice (less than 12 months old) with name, residential address and recording debt payable by/refund due to the person
- Notice issued by an approved Australian aged care facility (less than 12 months old) with name and residential address
- Letter issued by the Australian Electoral Commission (less than 3 months old) with name and residential address

* If the identification document is written in a language other than English, you must provide a translation into English by a translator who is accredited by the National Accreditation Authority for Translators and Interpreters.