

ANNEXURE A PASSIVE NFE/OTHER RELEVANT ENTITY TYPE - CONTROLLING PERSONS CERTIFICATION

附件A 被動NFE/其他相關實體類型——控制人證明

NOTE: Please complete the form using English characters. / 請用英文字母填寫表格。



If there are more than three Controlling Persons, provide additional copies of this page as required.
若控制人超過三個，請按需複印本頁並補充填寫。

STEP 1. PASSIVE NFE/OTHER RELEVANT ENTITY:

第1步 被動NFE/其他相關實體。

Name of Entity Account Holder
實體帳戶持有人名稱

Indicate the total number of Controlling Persons for the Account Holder (detailed in full below)
請註明帳戶持有人的控制人總數 (詳細資料均在下方提供)

The total Number of Pages provided for Annexure A is:
總共提供的附件A頁數為：

Office Use Only
限本行填寫

Reason Codes:

原因代碼：

- A TIN Not Issued (The Country does not issue TINs.)
A 未簽發TIN (該國不簽發TIN)
- B TIN Not Required (The Country does not require collection of a TIN)
B 無需TIN (該國不要求收集TIN)
- C TIN Applied For (The Controlling Person has applied for a TIN and we will inform you upon receipt)
C 已申請TIN (控制人已申請TIN，並會在收到後通知)
- Z TIN Unobtainable (The Controlling Person is unable to obtain a TIN)
Z 無法取得TIN (控制人無法取得TIN)

Once completed mail to:

填完後，請郵寄至： ANZ
AEOI Documents
Reply Paid 89576
SOUTH MELBOURNE VIC 3205
澳洲

1.1 CONTROLLING PERSON DETAILS:

1.1 控制人資料：

- a) Full Name of Controlling Person
a) 控制人全名
- c) Full Residence Address
c) 完整住址

b) Date of Birth (DD/MM/YYYY)
b) 出生日期 (日/月/年)

Country
國家

- d) Tax Residency Information: (Please check appropriate box and complete the table)
d) 稅務居民資料：(請勾選相應選框，並填寫表格)

This Controlling Person is **only** Tax Resident in Australia OR
此控制人 只在 澳洲是稅務居民，或者

I have included **below** all countries in which the Controlling Person is Tax Resident (**other than** Australia)
已在 下方 載明該控制人為稅務居民的所有國家 (澳洲除外)

Country of Tax Residence (Do not include Australia) 稅務居民國 (澳洲除外)	Tax payer identification number (TIN) (or country equivalent) 納稅人識別碼(TIN) (或該國等同代碼)	Reason Code (if TIN not provided) (若未提供TIN) 原因代碼	Explanation (only if Reason code is "Z") 若原因代碼為Z，請說明
Please note, US Citizens are considered to be Tax Residents of the US. 請注意， 美國公民 視作美國稅務居民。			

1.2 CONTROLLING PERSON DETAILS:

1.2 控制人資料：

- a) Full Name of Controlling Person
a) 控制人全名
- c) Full Residence Address
c) 完整住址

b) Date of Birth (DD/MM/YYYY)
b) 出生日期 (日/月/年)

Country
國家

- d) Tax Residency Information: (Please check appropriate box and complete the table)
d) 稅務居民資料：(請勾選相應選框，並填寫表格)

This Controlling Person is **only** Tax Resident in Australia OR
此控制人 只在 澳洲是稅務居民，或者

I have included **below** all countries in which the Controlling Person is Tax Resident (**other than** Australia)
已在 下方 載明該控制人為稅務居民的所有國家 (澳洲除外)

Country of Tax Residence (Do not include Australia) 稅務居民國 (澳洲除外)	Tax payer identification number (TIN) (or country equivalent) 納稅人識別碼(TIN) (或該國等同代碼)	Reason Code (if TIN not provided) (若未提供TIN) 原因代碼	Explanation (only if Reason code is "Z") 若原因代碼為Z，請說明
Please note, US Citizens are considered to be Tax Residents of the US. 請注意， 美國公民 視作美國稅務居民。			

ANNEXURE A PASSIVE NFE/OTHER RELEVANT ENTITY TYPE - CONTROLLING PERSONS CERTIFICATION

附件A 被動NFE/其他相關實體類型——控制人證明

NOTE: Please complete the form using English characters. / 請用英文字母填寫表格。



1.3 CONTROLLING PERSON DETAILS:

1.3 控制人資料：

a) Full Name of Controlling Person

a) 控制人全名

c) Full Residence Address

c) 完整住址

b) Date of Birth (DD/MM/YYYY)

b) 出生日期 (日/月/年)

--	--	--	--	--	--

Country
國家

d) Tax Residency Information: (Please check appropriate box and complete the table)

d) 稅務居民資料：(請勾選相應選框，並填寫表格)

This Controlling Person is **only** Tax Resident in Australia OR

此控制人**只在**澳洲是稅務居民，或者

I have included **below** all countries in which the Controlling Person is Tax Resident (**other than** Australia)

已在**下方**載明該控制人為稅務居民的所有國家(澳洲**除外**)

	Country of Tax Residence (Do not include Australia) 稅務居民國 (澳洲除外)	Tax payer identification number (TIN) (or country equivalent) 納稅人識別碼(TIN) (或該國等同代碼)	Reason Code (if TIN not provided) (若未提供TIN) 原因代碼	Explanation (only if Reason code is "Z") 若原因代碼為Z，請說明
Please note, US Citizens are considered to be Tax Residents of the US. 請注意， 美國公民 視作美國稅務居民。				

STEP 2. ENTITY ACCOUNT HOLDER DECLARATION AND SIGNATURE: I CERTIFY THAT:

第2步 實體帳戶持有人聲明與簽字：茲證明：

1. I am authorised to sign for the Account Holder/Controlling Person(s).

2. I have provided true, correct and complete information.

3. I have consulted an independent advisor where necessary and acknowledge that ANZ does not provide any advice.

4. I understand that provision of false, inaccurate or incomplete information may constitute an offence(s) and penalties may apply.

5. I have obtained the necessary consent and authorisation to allow disclosure and use of the information provided in this Self-Certification (including Annexure A to this Self Certification).

6. An authorised representative of the Account Holder/Controlling Person will notify ANZ of changes to any information within 30 days of the change occurring and, where required, will provide ANZ with a new Self-Certification.

7. I will provide ANZ with any additional information and/or documentation as requested.

8. I have provided/will provide the applicable documents (eg. Power of Attorney / Form W).

1.我已獲授權代表帳戶持有人/控制人簽字。

2.我已提供真實、準確、完整的資料。

3.我已在必要時諮詢了獨立顧問，並在此申明ANZ未提供任何意見。

4.我已知悉，若提供不實、不準確或不完整的資料，可能構成違法行為，並可能招致處罰。

5.我已取得必要的許可和授權，允許披露和使用本自我證明表(包括自我證明表附件A)所提供的資料。

6.帳戶持有人/控制人的授權代表會在資料發生變化後30日內通知ANZ，並會在需要時向ANZ提供新的自我證明表。

7.我會根據要求向ANZ提供補充資料和(或)文件。

8.我已提供或將會提供相關文件(如授權委託書/表W)。

Signature
簽名

Print name
清楚書寫姓名

Date
日期

(Please also provide documentary evidence of the capacity to sign)
(請同時提供簽名權限的證明文件)