

Bonds

債券

Please refer below list of Bonds with product risk rating (as of 9 December 2016):

請參考以下債券之產品風險評級列表 (2016 年 12 月 9 日更新):

Product Code 產品編號	Product Name 產品名稱	Product Risk Rating 產品風險評級
AAB0100320	CAD 1.75% ABN AMRO BANK NV 10MAR20	2
AAB0100418	AUD 4.625% ABN AMRO BANK NV 10APR2018	2
AAB0120918	NZD 5.75% ABN AMRO BANK NV 12SEP18	2
AAB0150118	AUD 4.625% ABN AMRO BANK NV 15JAN2018	2
AAB0170320	NZD 5.75% ABN AMRO BANK NV 17MAR2020	2
AAB0230719	AUD 5.25% ABN AMRO BANK NV 23JUL2019	2
AAB0240918	CAD 3.5% ABN AMRO BANK NV 24SEP2018	2
ABN0170321	AUD 5.25% ABN AMRO BANK NV 17MAR21	2
ABN0210120	NZD 4.75% ABN AMRO BANK NV 21JAN20	2
ACF0041222	USD 3.25% AMBER CIRCLE FUNDING LTD 04DEC2022	2
ACW0150319	5.25PCT AUSTRALIA COMMONWEALTH OF GOVERNMENT 15MAR19	2
ANZ0030220	AUD 4.75% AUSTRALIA AND NEW ZEALAND BANKING GRP LTD 03FEB20	2
ANZ0060819	AUD 4.75% AUSTRALIA AND NEW ZEALAND BANKING GRP LTD 06AUG19	2
ANZ0070218	AUD 4.125% AUSTRALIA AND NEW ZEALAND BANKING GRP LTD 07FEB18	2
ANZ0080822	3.45% AUSTRALIA AND NEW ZEALAND BANKING GROUP LTD 08AUG22	2
ANZ0101218	CAD 2.625% AUSTRALIA AND NEW ZEALAND BANKING GRP LTD 10DEC18	2
ANZ0110417	5% AUSTRALIA AND NEW ZEALAND BANKING GROUP LTD 11APR17	2
ANZ0120819	NZD 5.125% AUST & NZ BANKING GROUP 08DEC19	2
ANZ0130120	5.1PCT ANZ BANKING GROUP 13JAN20	2
ANZ0130217	5.875% AUSTRALIA AND NEW ZEALAND BANKING GROUP LTD 13FEB17	2
ANZ0130319	NZD 5.25% AUSTRALIA AND NEW ZEALAND BANKING GRP LTD 13MAR19	2

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ANZ0181220	AUD 5.25% AUSTRALIA AND NEW ZEALAND BANKING GRP LTD 18DEC20	2
ANZ0190321	AUD 4.875% AUSTRALIA AND NEW ZEALAND BANKING GRP LTD 19MAR21	2
ANZ0221019	NZD 5.625% AUSTRALIA AND NEW ZEALAND BANKING GRP LTD 22OCT19	2
ANZ0250717	5% ANZ BANKING GROUP LTD 25JUL17	2
ANZ1030220	NZD 4.25% AUST & NZ BANKING GROUP 03FEB20	2
APP0040821	USD 1.55% APPLE INC 04AUG2021	2
BHP0181017	3.75% BHP BILLITON FINANCE LTD 18OCT17	2
BNP0010218	AUD 4.75% BNP PARIBAS SA 01FEB18	2
BNP0041219	NZD 5.875% BNP PARIBAS 04DEC19	2
BNP0210120	AUD 5% BNP PARIBAS SA 21JAN20	2
BNP0210820	NZD 5.375% BNP PARIBAS 21AUG20	2
BOC0110220	5.55% BANK OF CHINA (HONG KONG) LTD 11FEB20	2
BPC0081018	CNY 3.95% BP CAPITAL MARKETS PLC 08OCT18	2
CBA0151216	6% COMMONWEALTH BANK OF AUSTRALIA 15DEC2016	2
CFA0310517	4.75% CATERPILLAR FINANCIAL AUSTRALIA LTD 31MAY2017	2
CHI0031021	USD 1.875% CK HUTCHISON INTERNATIONAL (16) LTD 03OCT2021	2
CLP0120721	4.75% CLP POWER HK FINANCING LTD 12JUL21	2
CNG0180821	2.36% CHINA GOVERNMENT BOND 18AUG21	2
CNG0290622	3.1% CHINA GOVERNMENT BOND 29JUN22	2
CPL0060416	6.625% CITIC LIMITED 15APR21	2
FCG0220620	CNY 4% FONTERRA COOPERATIVE GRO 22JUN20	2
FGB0010419	AUD 5% FIRST GULF BANK 1APR19	2
GCA0040920	AUD 5.25% GE CAPITAL AUSTRALIA FUNDING PTY LTD 04SEP20	2
GEC0220518	AUD 4% GE CAPITAL AUSTRALIA FUNDING PTY LTD 22MAY2018	2
HKC0010818	6.25PCT HKCG FINANCE LTD 07AUG18	2
HKE0141220	4.25% HONG KONG ELECTRIC FINANCE LTD 14DEC20	2
HSB0050421	5.1PCT HSBC HOLDINGS PLC 05APR21	2

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HUT0010817	7.45% HUT WHAM 01AUG17	2
HUT0081122	3.25% HUTCHISON WHAMPOA INT 08NOV22	2
HUT0090419	7.625% HUTCHISON WHAMPOA 09APR19	2
HUT0110919	5.75PCT HUTCHISON WHAMPOA FINANCE LTD 11SEP19	2
ING0270919	AUD 5.5% ING BANK NV (SYDNEY BRANCH) 27SEP19	2
JPM0021118	NZD 4.25% JPMORGAN CHASE & CO 2NOV2018	2
JPM0071117	FLOATING JPMORGAN CHASE & CO 7NOV2017	2
JPM1071117	4.65% JPMORGAN CHASE & CO 7NOV2017	2
KCR0200519	5.125PCT KOWLOON CANTON RAILWAY CORP 20MAY19	2
KOR0160419	7.125PCT REPUBLIC OF KOREA 16APR19	2
LTB0010622	GBP 2.5% LLOYDS BANK PLC 01JUN22	2
LTB0130120	5.8% LLOYDS TSB BANK PLC 13JAN20	2
MBL0030320	AUD 3.25% MACQUARIE BANK LTD 3MAR20	2
MQG0220217	5% MACQUARIE BANK LTD 22FEB17	2
NAB0070318	7.25% NATIONAL AUSTRALIA BANK LTD 07MAR18	2
NAB0170120	AUD 5% NATIONAL AUSTRALIA BANK 17JAN20	2
NTH0150123	7.5% NETHERLAND 15JAN23	2
NZG0151217	6PCT NEW ZEALAND GOVERNMENT 15DEC17	2
QTC0180917	7.125% QUEENSLAND TREASURY CORP 18SEP17	2
RAB0131021	AUD 4.25% RABOBANK NEDERLAND 13OCT2021	2
RAB0131117	AUD 4.125% RABOBANK NEDERLAND NV 13NOV2017	2
RAB0201117	CAD 2.25% RABOBANK NEDERLAND NV 20NOV2017	2
RAB0230821	AUD 3% RABOBANK NEDERLAND AU 23AUG2021	2
RAB0280219	NZD 4.625% RABOBANK NEDERLAND NV 28FEB2019	2
SHK0021120	4% SUN HUNG KAI PROP 02NOV20	2
SHK0140222	4.5% SUN HUNG KAI PROP 14FEB22	2
SHK0200421	4.1% SUN HUNG KAI PROPERTIES CAPITAL MARKET LTD 20APR21	2

Product Code 產品編號	Product Name 產品名稱	Product Risk Rating 產品風險評級
TLS0160922	AUD 4% TELSTRA CORP LTD 16SEP22	2
WBC0110220	7.25% WESTPAC BANKING CORP 11FEB20	2
WBC0160419	AUD 4.375% WESTPAC BANKING CORP 16APR2019	2
WBC0200217	6% WESTPAC BANKING CORP 20FEB2017	2
WFC0270721	AUD 3% WELLS FARGO & COMPANY 27JUL2021	2
WHA0080217	4.625% WHARF FINANCE LTD 08FEB17	2
AGH0281124	USD 3.6% ALIBABA GROUP HOLDING 28NOV24	3
APP0040826	USD 2.45% APPLE INC 04AUG2026	3
BAC0050320	AUD 4.25% BANK OF AMERICA CORP 05MAR20	3
BAC0230818	AUD 4.5% BANK OF AMERICA CORP 23AUG2018	3
BAP0030321	AUD 5.375% BOC AVIATION PTE LTD 03MAR2021	3
BAP0201118	CNY 4.5% BOC AVIATION PTE LTD 20NOV2018	3
BAP0240120	AUD 5.375% BOC AVIATION PTE LTD 24JAN20	3
BDI0300625	USD 4.125% BAIDU INC 30JUN2025	3
BMW0040226	AUD 4.25% BMW FINANCE NV 04FEB2026	3
BNP0190919	AUD 5.25% BNP PARIBAS SA 19SEP19	3
CHI0031026	USD 2.75% CK HUTCHISON INTERNATIONAL (16) LTD 03OCT2026	3
CIT0040521	AUD 3.75% CITIGROUP INC 4MAY2021	3
CIT0121119	NZD 5.13% CITIGROUP INC 12NOV2019	3
CIT0121218	5.125% CITIGROUP INC 12DEC18	3
CIT0140122	4.5% CITIGROUP INC 14JAN22	3
CIT0290617	6.25% CITIGROUP INC 29JUN17	3
CLP0260423	USD 2.875% CLP POWER HONG KONG FINANCING LTD 26APR2023	3
CMF0999999	5% CHINA MERCHANTS FINANCE COMPANY LTD 4MAY22	3
CMI0190918	USD 4.75% CENTURY MASTER INVESTMENT 19SEP18	3
CNO0050525	USD 3.5% CNOOC FINANCE 2015 US 5MAY2025	3
COC0040620	AUD 4.375% COCA-COLA AMATIL LTD 4JUN2020	3

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FIL0191020	6.75% FIL LTD 19OCT20	3
FIL0261017	USD 4.7% FRANSHION INVESTMENT LTD 26OCT2017	3
FMC0020620	AUD 3.588% FORD MOTOR CREDIT CO LLC 02JUN2020	3
FMC0101218	AUD 4.05% FORD MOTOR CREDIT CO LLC 10DEC2018	3
GSG0080921	AUD 4.7% GOLDMAN SACHS GROUP INC 8SEP21	3
GSG0150117	5.625PCT GOLDMAN SACHS GROUP 15JAN17	3
HUT0241133	7.45% HUTCHISON WHAMPOA 24NOV33	3
KIF0040517	USD 5.375% K WAH INTERNATIONAL FINANCE SERVICE LTD 04MAY2017	3
KSE0250920	AUD 5.75% KOREA SOUTH-EAST POWER 25SEP20	3
LLF0131118	AUD 5.5% LEND LEASE FIN LTD 13NOV2018	3
LTB0191221	FLOATING LLOYDS TSB BANK PLC 19DEC21	3
LTB1161221	FLOATING LLOYDS TSB BANK PLC 16DEC21	3
MQG0140120	6% MACQUARIE GROUP LTD 14JAN20	3
MQG0140121	6.25PCT MACQUARIE GROUP LTD 14JAN21	3
MSD0080817	7.6% MORGAN STANLEY 8AUG17	3
MSD0090517	8% MORGAN STANLEY 9MAY2017	3
MSD0161118	AUD 4.75% MORGAN STANLEY 16NOV18	3
MSD0220218	7.375% MORGAN STANLEY 22FEB18	3
MSD0231017	4% MORGAN STANLEY 23OCT17	3
MSD0300921	AUD 5% MORGAN STANLEY 30SEP21	3
MSD1220317	4.75% MORGAN STANLEY 22MAR17	3
NAB0281122	AUD FLOATING NATIONAL AUSTRALIA BANK 28NOV22	3
RBS0110121	6.125% ROYAL BANK OF SCOTLAND GROUP PLC 11JAN21	3
SCB0030418	7.75% STANDARD CHARTERED BANK 03APR18	3
SCB0120722	FLOATING STANDARD CHARTERED PLC 12JUL22	3
SCB0240620	5.875PCT STANDARD CHARTERED BANK (HONG KONG) LTD 24JUN20	3
SCB0260917	6.4% STANDARD CHARTERED BANK 26SEP17	3

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SHK0160123	USD 3.625% SUN HUNG KAI PROP (CAP) 16JAN23	3
SMB0010322	4.85% SUMITOMO MITSUI BANKING CORP 01MAR22	3
THL0110225	3.8% TENCENT HOLDINGS LTD 11FEB2025	3
WES0120320	AUD 4.75% WESFARMERS LTD 12MAR20	3
WFC0270726	AUD 3.7% WELLS FARGO & COMPANY 27JUL2026	3
WHA0270318	CNY 4% WHARF FINANCE (NO 1) LTD 27MAR18	3
WHL0150217	5% WISEYEAR HOLDINGS LTD 15FEB17	3
WRT0231019	5% WEST RETAIL TRUST 1 23OCT2019	3
AAB0270422	6.25 PCT ABN AMRO BANK 27APR22	4
AGE0250423	USD 4.125% FTL CAPITAL LTD 25APR2023	4
AIG0010426	USD 3.9% AMERICAN INTL GROUP 01APR26	4
AMM0040425	GBP 4% AP MOLLER-MAERSK A/S 04APR25	4
BAC0050821	AUD 3.3% BANK OF AMERICA CORP 05AUG2021	4
BAC0210425	USD 3.95% BANK OF AMERICA CORP 21APR25	4
BAC0240723	USD 4.1% BANK OF AMERICA CORP 24JUL2023	4
BAP0020523	USD 4.375% BOC AVIATION PTE LTD 2MAY2023	4
BEA0160720	6.125% BANK OF EAST ASIA LTD 16JUL20	4
BNP0090327	AUD 4.625% BNP PARIBAS SA 09MAR2027	4
BOA0030326	USD 4.45% BANK OF AMERICA CORP 03MAR26	4
BOA0260824	USD 4.2% BANK OF AMERICA CORP 26AUG2024	4
BOC0270426	USD 3.875% BOC AVIATION PTE LTD 27APR2026	4
CBI0240620	6.875PCT CITIC BANK INTERNATIONAL LTD 24JUN20	4
CCA0220722	AUD 3.125% COCA-COLA AMATIL LTD 22JUL2022	4
CIT0090326	USD 4.6% CITIGROUP INC 09MAR2026	4
CIT0090625	CAD 4.09% CITIGROUP INC 09JUN2025	4
CIT0100625	USD 4.4% CITIGROUP INC 10JUN25	4
CIT0150523	USD 3.50% CITIGROUP INC 15MAY23	4

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CIT0260325	USD 3.875% CITIGROUP INC 26MAR25	4
CIT0270425	USD 3.3% CITIGROUP INC 27APR25	4
CLO0999999	USD 4.75% CLOVERIE PLC PERPETUAL	4
CMF0030825	USD 4.75% CHINA MERCHANTS FINANCE 03AUG25	4
COF0050824	USD 5.95% CHINA OVERSEAS FIN VI 08MAY24	4
COF0101120	5.5% CHINA OVERSEAS FINANCE (CAYMAN) I LTD 10NOV2020	4
COF0151122	3.95% CHINA OVERSEAS FINANCE 15NOV2022	4
COG0230119	USD 5.125% CHINA OVERSEAS GRAND OCEANS FIN II LTD 23JAN2019	4
CTN0181023	USD 6% CHINA TAIPING NEW HORIZON LTD 18OCT23	4
DFC0150626	USD 6.02% DIAMOND 1 FINANCE CORP 15JUN2026	4
DTL0010817	USD 4.75% DYNAMIC TALENT LTD 01AUG2017	4
FUB0301120	6.125% FUBON BANK (HONG KONG) LTD 30Nov20	4
FWD0240924	USD 5% FWD LTD 24SEP24	4
GHK0230118	CNY 5.5% GREENLAND HONG KONG HOLDINGS LTD 23JAN2018	4
GLG0171017	USD 3.5% GREENLAND GLB INVST 17OCT2017	4
GSG0211025	USD 4.25% GOLDMAN SACHS GROUP INC 21OCT25	4
GSG0250226	USD 3.75% GOLDMAN SACHS GROUP INC 25FEB26	4
HKT0140726	USD 3% HKT CAPITAL NO 4 LTD 14JUL2026	4
HSB1150121	6.676% HSBC FINANCE CORP 15JAN21	4
ICB0301120	5.125% ICBC ASIA LTD 30NOV20	4
KPC0031124	USD 5.625% KING POWER CAPITAL LTD 3NOV2024	4
LSF0260625	USD 4.5% LS FINANCE 2025 LTD 26JUN25	4
LTB0140920	6.5PCT LLOYDS TSB BANK PLC 14SEP2020	4
MQG0070421	6.625PCT MACQUARIE BANK LTD 07APR21	4
MQG0210920	6PCT MACQUARIE BANK LTD 21SEP20	4
MSD0011122	4.875% MORGAN STANLEY 1NOV2022	4
MSD0220523	USD 4.1% MORGAN STANLEY 22MAY23	4

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MSD0230919	5.625PCT MORGAN STANLEY 23SEP19	4
MSD0270726	USD 3.125% MORGAN STANLEY 27JUL2026	4
MSD0280721	5.5% MORGAN STANLEY 28JUL21	4
PCC0020425	USD 3.625% PCCW-HKT CAPITAL NO2 LTD 02APR25	4
RBS0190322	13.125% ROYAL BK OF SCOTLAND PLC 19MAR22	4
RBS1160322	FLOATING ROYAL BANK OF SCOTLAND PLC 16MAR22	4
SCB0110123	USD 3.95% STANDARD CHARTERED PLC 11JAN23	4
SCB0250122	5.7% STANDARD CHARTERED PLC 25JAN22	4
SCB0260124	USD 5.2% STANDARD CHARTERED PLC 26JAN24	4
SCP0120426	USD 4.05% STANDARD CHARTERED PLC 12APR2026	4
SOL0040220	USD 4.45% SINO OCEAN LD TRS FIN II 4FEB20	4
SOL1300719	USD 4.625% SINO OCEAN LND TRS FIN I 30JUL19	4
TCL0100119	USD 8.5% TRILLION CHANCE LTD 10JAN2019	4
YPC0240123	USD 4.5% YUEXIU PROPERTY CO LTD 24JAN2023	4
AEG0040349	7.125% AEGON NV 04MAR49	5
AEG0999999	AEGON PERPETUAL BOND	5
EDF2999999	USD 5.25% ELECTRICITE DE FRANCE SA PERPETUAL	5
FIL0100220	7% FITA INTERNATIONAL LTD 10FEB20	5
GLG0030719	USD 4.375% GREENLAND GLB INVST 3JUL19	5
GLG0030724	USD 5.875% GREENLAND GLB INVST 3JUL24	5
LBT0010317	5.75% LEHMAN BROTHERS 03JAN17	5
LBT0999999	6.9% LEHMAN BROTHERS PERPETUAL BOND	5
NGL0290120	6.75PCT NOBLE GROUP LTD 29JAN20	5
PRU1999999	7.75PCT PRUDENTIAL PLC PERPETUAL BOND	5
RAB2999999	FLOATING RABOBANK NEDERLAND NV PERPETUAL	5
RBS0160322	9.5% ROYAL BANK OF SCOTLAND PLC 16MAR22	5
SCB3999999	FLOATING STANDARD CHARTERED PLC PERPETUAL BOND	5

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WHA0210120	4.9% WHARF FINANCE (NO 1) LTD 21JAN20	5
WHA0300420	4.4% WHARF FINANCE (NO 1) LTD 30APR20	5
YIR0160517	USD 4.461% YANCOAL INTL RES DEV 16MAY2017	5
CCF0230425	USD 4.25% CHINA CINDA FINANCE 2015 23APR2025	5
SOD0241117	USD 8.7% SHUI ON DEVELOPMENT HOLDING LTD 24NOV2017	5
PRU0999999	6.5PCT PRUDENTIAL PLC PERPETUAL BOND	5

- Customers can and are strongly encouraged to ascertain their Customer Investment Profile** with us to help customers select our investment/insurance products with a Product Risk Rating suitable to the customers.

本行建議客戶完成ANZ客戶投資取向以確定其風險承受能力程度，以助客戶根據產品風險評級選擇適合客戶之投資/保險產品。
- The Product Risk Ratings of our investment/insurance products set out above are for our customers' reference only. **They were made as at the date specified and are subject to change from time to time.**

上述投資／保險產品之產品風險評級只供本行客戶參考。資料以指定之日期為準，並會不時作出修改。
- While we shall use reasonable care to update the Product Risk Ratings of our investment/insurance products above within reasonable time after change, we do not represent or warrant that the Product Risk Ratings of our investment/insurance products set out above are up-to-date. **Customers are strongly encouraged to contact us for the most updated Product Risk Ratings of our investment/insurance products they are holding with us.**

本行將定時以適當的風險評級更新上述投資／保險產品，但本行並不陳述或保證上述投資／保險產品之產品風險評級為最新的資料。客戶可向本行查詢其所持有之投資／保險產品的最新產品風險評級。
- Product risk is classified into 5 different risk ratings, ranging from 1 to 5 with 5 being the highest risk rating. 產品風險評級分為5個級別，由1至5，5為最高風險級別。