

Temporary Information Disclosure Report on Administrative Penalties on Chongqing Branch - Australia and New Zealand Bank(China) Company Limited

On August 22, 2022, Australia and New Zealand Bank(China) Company Limited (hereinafter referred to as "the Bank") Chongqing Branch received the "Notice of Administrative Penalty Decision"(Yu Yin Bao Jian Fa Jue Zi [2022] No.38) issued by China Banking and Insurance Regulatory Commission Chongqing Office (hereinafter referred to as the "CBIRC Chongqing"). CBIRC Chongqing imposed an administrative penalty on the staff behavior management of Chongqing Branch for serious violation of the prudent management rules, and imposed a fine of RMB 200,000.

The Bank attaches great importance to the above-mentioned punishment decision by the regulatory authority and has taken a series of measures to rectify it. At the same time, the Bank will continue to enhance the employee behavior management and improve the level of operation management.